

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Theodore Rodes, Jr., et al.

Application No.:

10/686,144

Confirmation No.:

6219

Filed On:

October 15, 2003

Art Unit:

Examiner:

3626

For: System and Method for Storing and Retrieving

Medical Directives

Mail Stop Amendment Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97 AND 1.98

Dear Sir:

Applicants submit herewith their "Information Disclosure Statement," and a copy of the non patent literature document listed therein. Pursuant to the Notice from the U.S. Patent and Trademark Office ("PTO") dated October 12, 2004, the PTO has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) requiring submission of a copy of each cited U.S. patent and each cited U.S. patent application for all applications regardless of filing date and for all international applications entering the national stage under 35 U.S.C. § 371 regardless of U.S. filing date.

Since this Statement is being filed before the mailing date of a first Official Action on the merits, no fee is necessary.

CERTIFICATION PURSUANT TO 37 C.F.R. §1.97(e)

The undersigned attorney states each item of information contained in this Information Disclosure Statement was cited in a Communication from a foreign Patent Office in a counterpart foreign patent application, namely PCT International Application No. PCT/US04/34077, mailed from the Office on December 13, 2005, which is not more than three (3) months prior to the filing of this Information Disclosure Statement.

While Applicants believe no fees are required with the filing of this Information Disclosure Statement, should any fees associated with this Communication be required,

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authorization to charge to Deposit Account No. 23-0280 is hereby given. A duplicate copy of this paper is enclosed for that purpose.

Respectfully submitted,

Date:

2-7-06

By:

Jeffrey R. Gargano, Reg. No. 38/148 Wallenstein Wagner & Rockey, Ltd. 311 South Wacker Drive, 53rd Floor Chicago, Illinois 60606-6630

312.554.3300

CERTIFICATE UNDER (37 C.F.R. § 1.8a)

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on.

Unda K. Johnson

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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distitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/686,144

Filing Date October 15, 2003

First Named Inventor Theodore Rodes, Jr.

Art Unit 3626

Examiner Name

Attorney Docket Number 4390 P 003

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 4

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/L.L./	<u> </u>	us- 2003/0040939 A1	02-27-2003	Tritch et al.	
		US-			
	<u> </u>	US-			
	<u></u>	US-			
		US-	 		
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ "Number ⁴ "Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
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Examiner Signature	/Linh Giang Le/	Date Considered	03/30/2008
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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/Linh Giang Le/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/686,144			
Filing Date	October 15, 2003			
First Named Inventor	Theodore Rodes, Jr.			
Art Unit	3626			
Examiner Name				
Attorney Docket Number	4300 P 003			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
/L.L./		PCT International Search Report from International Application No. PCT/US04/34077 mailed December 13, 2005		
				
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